

Incremental QBF Solving

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Quantified Boolean Formulas (QBF):

- Propositional formulae with universally (\forall) and (\exists) existentially quantified variables.
- In terms of QCSP: all variables have Boolean domains, all constraints are clauses.
E.g. $\exists x \forall y \exists z. C_1 \wedge C_2 \wedge \dots \wedge C_n$.
- Solving a QBF: PSPACE-complete.
- Applications in model checking, formal verification, testing, ...

Incremental Solving:

- In practice, often a sequence $\psi_0, \psi_1, \dots, \psi_n$ of related formulas must be solved.
- Try to exploit similarity between formulas in a sequence.
- Information gathered when solving ψ_i might help to solve ψ_j with $j > i$.

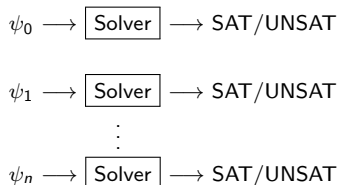
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Overview (2/3): Non-Incremental QBF Solving



- Given: sequence $\psi_0, \psi_1, \dots, \psi_n$ of PCNFs to be solved.
- Typical usage scenario: solver is called from the command line.
- Each ψ_i is parsed from scratch (might incur non-negligible overhead).
- Syntactic similarity between ψ_i and ψ_j with $i < j$ is not exploited.
- All information gathered when solving ψ_i is lost.
- Potential repetition of work when solving ψ_{i+1} .

Incremental QBF Solving:

- Overview of general-purpose incremental QBF solving.
- Backtracking search procedure based on DPLL algorithm for QBF.
- Proof system: derivation of learned constraints by resolution.
- Challenge: which constraints can be reused in incremental solving?
- Promising experimental results.

DepQBF:

- Incremental QBF solver.
- Free software: <http://lonsing.github.io/depqbf/>
- Related work:
 - Incremental SAT solving by MiniSAT,... [ES03, NRS14].
 - Incremental QBF solving by QuBE: bounded model checking of partial designs [MMLB12].

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QBF in Prenex Conjunctive Normal Form:

- Given a Boolean formula $\phi(x_1, \dots, x_m)$ in CNF.
- Quantifier prefix $\hat{Q} := Q_1 B_1 Q_2 B_2 \dots Q_m B_m$.
- Quantifiers $Q_i \in \{\forall, \exists\}$.
- Quantifier block $B_i \subseteq \{x_1, \dots, x_m\}$ containing variables.
- QBF in prenex CNF (PCNF): $Q_1 B_1 Q_2 B_2 \dots Q_m B_m \cdot \phi(x_1, \dots, x_m)$.
- $B_i \leq B_{i+1}$: quantifier blocks are linearly ordered (extended to variables, literals).

Example

- Given the CNF $\phi := (x \vee \neg y) \wedge (\neg x \vee y)$.
- Given the quantifier prefix $\hat{Q} := \forall x \exists y$.
- Prenex CNF: $\psi := \hat{Q} \cdot \phi = \forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y)$.

Recursive Definition:

- Given a PCNF $\psi := Q_1 B_1 \dots Q_m B_m \cdot \phi$.
- Prerequisite: every variable is quantified in the prefix (no free variables).
- Recursively assign the variables in prefix order (from left to right).
- Base cases: the QBF \top (\perp) is satisfiable (unsatisfiable).
- $\psi = \forall x \dots \phi$ is satisfiable if $\psi[\neg x]$ **and** $\psi[x]$ are satisfiable.
- $\psi = \exists x \dots \phi$ is satisfiable if $\psi[\neg x]$ **or** $\psi[x]$ is satisfiable.
- In $\psi[x]$ ($\psi[\neg x]$), every occurrence of x in ψ is replaced by \top (\perp).
- Assignment $A = \{l_1, \dots, l_n\}$: if $l_i \in A$ is a positive (negative) literal, then $var(l_i)$ is assigned to true (false).

Example (continued)

The PCNF $\psi = \forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y)$ is satisfiable if

- (1) $\psi[x] = \exists y. (y)$ and
- (2) $\psi[\neg x] = \exists y. (\neg y)$ are satisfiable.

- (1) $\psi[x] = \exists y. (y)$ is satisfiable since $\psi[x, y] = \top$ is satisfiable.
- (2) $\psi[\neg x] = \exists y. (\neg y)$ is satisfiable since $\psi[\neg x, \neg y] = \top$ is satisfiable.

- QBF-specific variant of DPLL algorithm [DLL62, CGS98].
- Basic idea: backtracking-based implementation of semantics by enumeration of assignments.
- *Constraint learning* as a proof system, based on enumerated assignments A .
- $\psi[A] = \perp$: derive a new *clause*.
- $\psi[A] = \top$: derive a new *cube*, i.e. conjunction of literals.
- Derivation relation \vdash .

Very high-level view, omitting crucial details:

```
bool bt_search (PCNF  $Qx\psi$ , Assignment  $A$ )
  /* 1. Simplify under given assignment. */
   $\psi' := \text{simplify}(Qx\psi[A]);$ 
  /* 2. Check base cases. */
  if ( $\psi' == \perp$ )
    return false;
  if ( $\psi' == \top$ )
    return true;
  /* 3. Assignment generation, backtracking,
     constraint learning */
  if ( $Q == \exists$ )
    return bt_search ( $\psi'$ ,  $A \cup \{\neg x\}$ ) ||
           bt_search ( $\psi'$ ,  $A \cup \{x\}$ );
  if ( $Q == \forall$ )
    return bt_search ( $\psi'$ ,  $A \cup \{\neg x\}$ ) &&
           bt_search ( $\psi'$ ,  $A \cup \{x\}$ );
```

Example

$\psi := \exists x \forall u \exists y. (x \vee u \vee \neg y) \wedge (x \vee u \vee y) \wedge (\neg x \vee \neg u \vee \neg y) \wedge (\neg x \vee \neg u \vee y).$

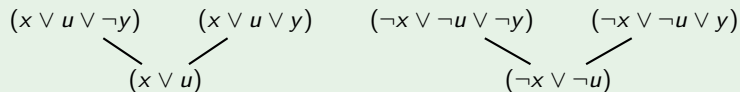
$(x \vee u \vee \neg y) \quad (x \vee u \vee y) \quad (\neg x \vee \neg u \vee \neg y) \quad (\neg x \vee \neg u \vee y)$

- Input clauses: $\forall C \in \psi$, by definition it holds that $\psi \vdash C$.

Constraint Learning by Example (1/2): Clause Derivations

Example

$\psi := \exists x \forall u \exists y. (x \vee u \vee \neg y) \wedge (x \vee u \vee y) \wedge (\neg x \vee \neg u \vee \neg y) \wedge (\neg x \vee \neg u \vee y).$

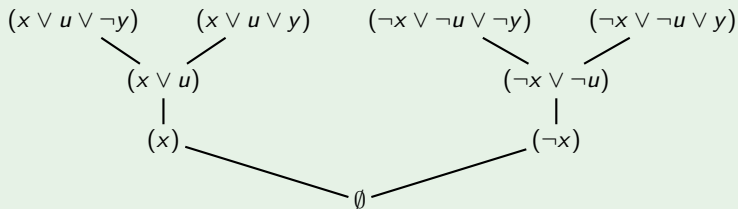


- Input clauses: $\forall C \in \psi$, by definition it holds that $\psi \vdash C$.
- Resolution of clauses (informally): for C_1, C_2 with $\psi \vdash C_1$, $\psi \vdash C_2$ and $x \in C_1$ and $\neg x \in C_2$, it holds that $\psi \vdash C$ where $C = C_1 \otimes C_2$ is the resolvent of C_1 and C_2 .

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- Reduction of clauses: for C_1 with $\psi \vdash C_1$, it holds that $\psi \vdash C$ where C is obtained by removing trailing universal literals from C_1 by prefix ordering.

Example

$$\psi := \forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y).$$

$$\begin{array}{cc} \psi[x, y] = \top & \psi[\neg x, \neg y] = \top \\ | & | \\ (x \wedge y) & (\neg x \wedge \neg y) \end{array}$$

- Model generation: for an assignment A with $\psi[A] = \top$, it holds that $\psi \vdash C$ where $C = \bigwedge_{I \in A} I$.

Example

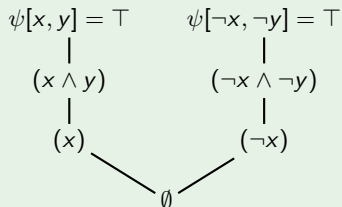
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- Model generation: for an assignment A with $\psi[A] = \top$, it holds that $\psi \vdash C$ where $C = \bigwedge_{l \in A} l$.
- Reduction of cubes: for C_1 with $\psi \vdash C_1$, it holds that $\psi \vdash C$ where C is obtained by removing trailing existential literals from C_1 by prefix ordering.

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- Resolution of cubes (informally): for C_1, C_2 with $\psi \vdash C_1$, $\psi \vdash C_2$ and $x \in C_1$ and $\neg x \in C_2$, it holds that $\psi \vdash C$ where $C = C_1 \otimes C_2$ is the resolvent of C_1 and C_2 .

Satisfiability:

- Soundness of a learned cube C with $\psi \vdash C : \hat{Q}.\phi \equiv_{sat} \hat{Q}.\phi \vee C$.
- Derivation of empty cube: $\psi \vdash \emptyset$ if and only if ψ satisfiable.

Unsatisfiability:

- Soundness of a learned clause C with $\psi \vdash C : \hat{Q}.\phi \equiv_{sat} \hat{Q}.\phi \wedge C$.
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Clause and Cube Learning in Search-Based QBF Solving:

- Assignment generation drives the application of the proof rules.

Clause and Cube Learning in Incremental Solving:

- If ψ is modified to obtain ψ' , then if $\psi \vdash C$ for a constraint C we might have $\psi' \not\vdash C$.
- E.g.: if $\psi' \not\vdash C$ for a clause C then in general $\psi' \not\equiv_{sat} \psi' \wedge C$.
- Soundness: non-derivable (potentially invalid) constraints must be discarded.

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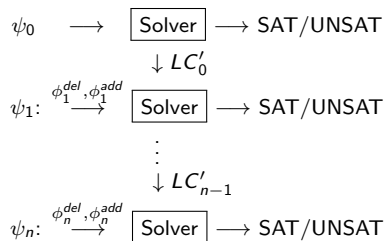
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Incremental Solving

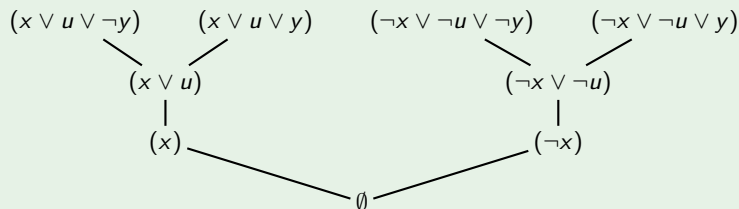


- Typical usage scenario: solver is called as a library from an external program via API.
- Reduced hard disk I/O overhead: only new parts are parsed.
- LC'_i : subset of the constraints learned when solving ψ_j with $j \leq i$.
- Parts of the constraints learned when solving previous formulas can be reused.
- Reused clause (cube) C : $\psi_{i+1} \equiv_{\text{sat}} \psi_{i+1} \wedge C$ ($\psi_{i+1} \equiv_{\text{sat}} \psi_{i+1} \vee C$) must still hold.
- Potential speed up compared to non-incremental solving.

Incremental Solving: Deleting Clauses from the Input Formula (1/2)

Example (continued)

$\psi := \exists x \forall u \exists y. (x \vee u \vee \neg y) \wedge (x \vee u \vee y) \wedge (\neg x \vee \neg u \vee \neg y) \wedge (\neg x \vee \neg u \vee y).$

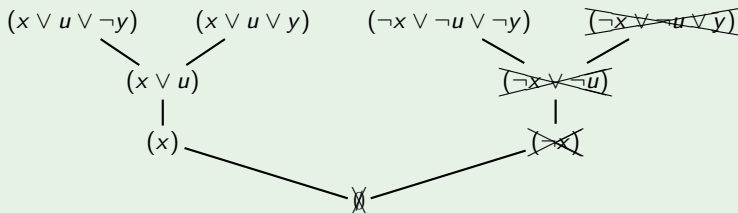


- *Deleting clauses from ψ_i to obtain ψ_{i+1} : for a learned clause C with $\psi_i \vdash C$ we might have $\psi_{i+1} \not\vdash C$ and $\psi_{i+1} \not\equiv_{sat} \psi_{i+1} \wedge C$.*
- From ψ_i to ψ_{i+1} : the set of learned clauses must be maintained.
- How to detect efficiently if $\psi_{i+1} \vdash C$?
- In practice: solvers do not keep the derivations of the learned constraints.

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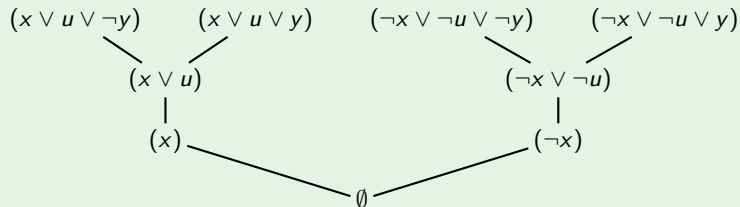
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Incremental Solving: Deleting Clauses from the Input Formula (2/2)

Example (continued)

$\psi := \exists x \forall u \exists y.$

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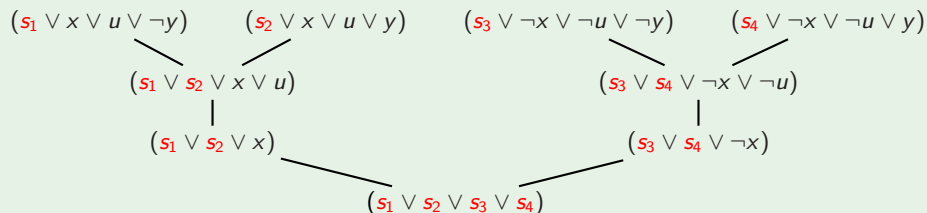


Incremental Solving: Deleting Clauses from the Input Formula (2/2)

Example (continued)

$\psi := \exists s_1, s_2, s_3, s_4, x \forall u \exists y.$

$(s_1 \vee x \vee u \vee \neg y) \wedge (s_2 \vee x \vee u \vee y) \wedge (s_3 \vee \neg x \vee \neg u \vee \neg y) \wedge (s_4 \vee \neg x \vee \neg u \vee y).$



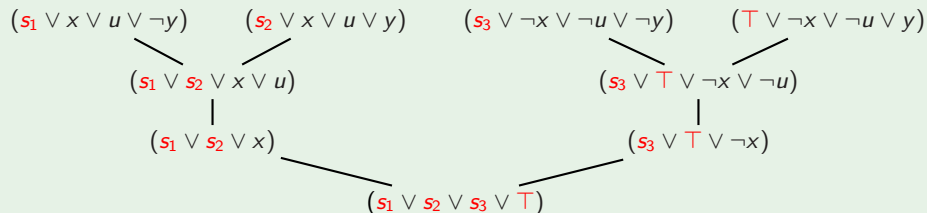
- Selector variables: fresh, leftmost existential variables added to each input clause.
- Solving under predefined assignments to selector variables (called *assumptions*).
- Setting selector variables to false (true): clauses are enabled (disabled).
- “Empty clause” contains only selector variables, all of which are assigned false.

Incremental Solving: Deleting Clauses from the Input Formula (2/2)

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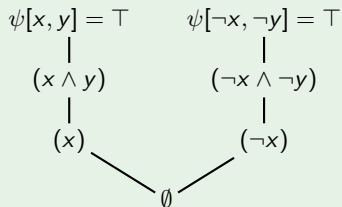
$(s_1 \vee x \vee u \vee \neg y) \wedge (s_2 \vee x \vee u \vee y) \wedge (s_3 \vee \neg x \vee \neg u \vee \neg y) \wedge (T \vee \neg x \vee \neg u \vee y).$



- Setting selector variables to true disables (effectively deletes) input clauses. . .
- . . . and also depending derived clauses.
- Selector variables are common in incremental SAT solving.

Example (continued)

$\psi := \forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y).$

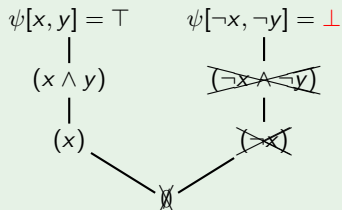


- Adding clauses to ψ_i to obtain ψ_{i+1} : for a learned cube C with $\psi_i \vdash C$ we might have $\psi_{i+1} \not\vdash C$ and $\psi_{i+1} \not\equiv_{\text{sat}} \psi_{i+1} \vee C$.
- From ψ_i to ψ_{i+1} : the set of learned cubes must be maintained.
- Problem: assignments in model generation rule might no longer be satisfying.
- Selector variables not directly applicable (initial cubes are derived on-the-fly).
- In DepQBF: only derivable *initial* cubes are kept.

Incremental Solving: Adding Clauses to the Input Formula

Example (continued)

$$\psi := \forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y) \wedge (x \vee y).$$



Adding the clause $(x \vee y)$ produces an unsatisfiable formula.

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Experiments

QBFEVAL'12-SR-Bloqger			
	<i>discard LC</i>	<i>keep LC</i>	<i>diff. (%)</i>
\bar{a} :	39.75×10^6	34.03×10^6	-14.40
\tilde{a} :	1.71×10^6	1.65×10^6	-3.62
\bar{b} :	117,019	91,737	-21.61
\tilde{b} :	10,322	8,959	-13.19
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\bar{b} :	31,489	3,350	-89.37
\tilde{b} :	827	5	-99.39
\bar{t} :	30.29	9.78	-67.40
\tilde{t} :	0.50	0.12	-76.00

Average and median number of assignments (\bar{a} and \tilde{a} , respectively), backtracks (\bar{b} , \tilde{b}), and wall clock time (\bar{t} , \tilde{t}) in seconds on fully solved *sequences* of PCNFs.

Left:

- Solving *sequences* $S = \psi_0, \dots, \psi_{10}$ of PCNFs, where clauses are only added to ψ_i to obtain ψ_{i+1} .
- Learned constraints are discarded (*discard LC*) and correct ones are kept (*keep LC*).

Right:

- Solving the reversed sequences $S' = \psi_9, \dots, \psi_0$ of PCNFs after the original sequence $S = \psi_0, \dots, \psi_9, \psi_{10}$ has been solved, where clauses are only deleted from ψ_{i+1} to obtain ψ_i .

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- Useful for solving sequences of related formulas.
- Benefits from similarity between formulas.
- Tight integration into tool frameworks: library API, reduced I/O overhead.
- Challenge: keeping learned constraints.
- Further incremental QBF applications and case studies needed.

DepQBF:

- Open source incremental QBF solver implemented in C.
- API to add sets of clauses in a stack-based way (push/pop).
- Related papers:
 - AISC 2014 (accepted): case study of conformant planning by incremental QBF solving.
 - ICMS 2014: API example, further experiments [LE14].

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